

Job Information Pack

Position Summary

Company Name:



Company Information:

StockCo is the leader in the livestock finance industry, offering farmers tailored solutions focussed on cash flow and capital management since 1995. Operating throughout New Zealand and Australia, this unique business is on a strong growth path underpinned by an innovative range of finance products that focus on maximising customers opportunities.

As a customer centric business StockCo places very strong emphasis on having the right people providing exceptional service experiences to customers. Likewise, the internal people strategy is to employ dynamic individuals who aspire to grow and develop within the business and drive positive change and initiatives around processes and systems.

There are huge opportunities for the best talent to join this evolving business and enjoy the freedom of movement, chance to innovate and implement new initiatives. StockCo's livestock funding solutions are multi-dimensional and provide employees with so much more than working to a prescribed process within strict business limitations, rules and regulations.

StockCo offers employees the chance to work with great people and provide opportunities for further development. As a company they offer a generous range of benefits and celebrate their on-going wins.

Job Title:

Securities Compliance Officer

Proposed Start Date:

ASAP

Hours:

8.00am to 5.00pm Monday to Friday.

Location:

StockCo's head office located in Havelock North.

Position Overview:

The primary focus of this newly created role of Securities Compliance Officer is to manage the security and risk

Main Responsibilities:

processes associated with new customers in both New Zealand and Australia and assist the Onboarding team with finalising credit applications, preparation of documentation and completing account set-up.

- Settlement Compliance – peer reviewing and taking full responsibility for signing off checklists prepared by the Onboarding team. This includes ensuring StockCo’s securities are correctly registered and that all credit requirements have been followed.

Other tasks include but are not limited to ensuring master documentation has been correctly executed, compliance with anti-money laundering regulations, reviewing all cashflow transactions to ensure compliance with policies and ensuring consistency across StockCo’s customer relationship management system.

- Default Management – preparation of default and demand documents and assisting StockCo’s Legal Counsel with the management of on-going default action/legal proceedings.
- Process & System Improvements – Liaise with StockCo Legal Counsel and the Onboarding Team to ensure effective processes are developed and implemented, identifying opportunities to maximise business efficiency and minimise business risk.
- Compliance – Working closely with the Securities Manager and Head of Credit ensuring compliance with risk management policies and procedures.
- Manage PPSR registrations and renewals, AML/KYC policies and other forms of securities.

Skills & Experience Required:

- Legal/Banking compliance experience
- Demonstrated knowledge of operational risk and compliance management
- Working knowledge of PPSA and AML
- Understanding of Legal entities
- Exceptional eye for detail
- Clear communication skills – verbal and written
- Ability to problem solve to achieve desired outcomes
- Technical literacy – competent in using all Microsoft Office applications and the ability to easily pick up other specialist software as required

Personal Attributes:

- Knowledge of the primary sector would be beneficial however not essential
- Aspirational
- Flexible and dynamic with the desire to succeed
- Friendly and professional communication style with the ability to connect with a wide range of people
- Open minded with the desire to learn and expand skill base
- Shows initiative and takes ownership
- Self-motivated team player

Specialised Systems:

StockCo has built its own CRM and Finance systems and is always developing these systems to ensure they meet the needs of customers while appropriately monitoring risk and performance. It is expected the appointee will embrace and facilitate change and technology.

As part of the induction programme, full training will be provided in these systems.

Computer literacy across the Microsoft Office suite is a requirement as is a working knowledge of both PPSA and AML.

Staff Structure:

- **Senior Management Team:**
 - The Senior Management team currently consists of Marcus Kight (MD), Michael Foote (Head of Credit), Jeremy Cranswick (CFO) and Richard Brimblecombe (CEO Australia) Tim Pryor (COO Australia)
- **Havelock North Office:**
 - The Finance, Customer Service, Onboarding, NZ Field team and Credit team are all based in Havelock North
- **Brisbane Office**
 - Sales and Operations.

Career Opportunities/Benefits:

- Attractive remuneration package
- Hawke's Bay location
- Opportunity to join a team celebrating ongoing success